

**IN THE UNITED STATES DISTRICT COURT
FOR THE NORTHERN DISTRICT OF NEW YORK**

JOHN DOE, a fictitious name,

Petitioner,

v.

HOWARD ZUCKER, M.D., in his official
capacity as Commissioner of the New York
State Department of Health, et al.,

Respondents.

Case No. 1:17-cv-01005 (GTS-CFH)

STATEMENT OF INTEREST OF THE UNITED STATES OF AMERICA

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INTRODUCTION

The United States of America (“United States”) respectfully submits this Statement of Interest under 28 U.S.C. § 517 to address the application of the Fair Housing Act (FHA), 42 U.S.C. §§ 3601-3619, to a regulation issued by the New York State Department of Health regarding the admission of individuals with serious mental illness to a certain subset of long term care facilities licensed by the State known as adult homes.¹ The regulation at issue prevents “adult home[s] with a certified capacity of 80 or more and a mental health census ... of 25 percent or more of the resident population” from admitting any more individuals who need long term care due to serious mental illness. *See* N.Y. Comp. Codes R. & Regs. tit. 18, § 487.4(d) (“DOH Regulation”). The regulation followed clinical advisories from the State’s Office of Mental Health (“OMH”) that these adult homes—referred to as “transitional adult homes”—are not clinically appropriate settings in which to provide services to the significant number of individuals with serious mental illness residing in them, nor do they promote recovery or rehabilitation. *See* N.Y. State OMH Clinical Advisory (Aug. 8, 2012), *available at* <http://www.omh.ny.gov/omhweb/advisories/>; N.Y. State Office of Mental Health, Update – Clinical Advisory Regarding Adult Homes Previously Issued to Psychiatric Inpatient Programs on August 8, 2012 (Oct. 1, 2012), *available at* <http://www.omh.ny.gov/omhweb/advisories/>.

Petitioner John Doe currently resides in a transitional adult home and alleges that the DOH regulation violates the FHA because it denies “housing”—namely, admission to an adult home—on the basis of disability. Because the State issued a waiver to the regulation allowing

¹ Under 28 U.S.C. § 517, “[t]he Solicitor General, or any officer of the Department of Justice, may be sent by the Attorney General to any State or district in the United States to attend to the interests of the United States in a suit pending in a court of the United States, or in a court of a State, or to attend to any other interest of the United States.”

individuals with serious mental illness to return to a transitional adult home in which they previously resided, Mr. Doe may remain in his current service setting. He nonetheless prefers to move out of his adult home and into his own apartment where he can receive community-based mental health services.

The State, in turn, argues that the DOH regulation was enacted to further the State's compliance with the "integration mandate" of the Americans with Disabilities Act (ADA) and was also in response to findings by the District Court for the Eastern District of New York that the State violated this mandate by unnecessarily placing individuals with serious mental illness in adult homes. *See* Resp't's Mem. of Law in Supp. Mot. for Summ. J. 3-4, ECF No. 238-1. The State also argues that the DOH regulation is supported by the OMH determination that adult homes are not "clinically appropriate or conducive to rehabilitation or recovery." *See id.* at 27 (citation omitted). For the reasons explained below, the DOH regulation does not violate the Fair Housing Act.

INTEREST OF THE UNITED STATES

The United States has important enforcement interests under both the FHA and the ADA. With respect to the FHA, the Attorney General may, for example, initiate civil proceedings on behalf of the United States in cases alleging a "pattern or practice" of housing discrimination. 42 U.S.C. § 3614(a). Additionally, the Attorney General "shall commence and maintain a civil action" on behalf of an aggrieved person who has filed a complaint of housing discrimination with the Department of Housing and Urban Development ("HUD"), where HUD has issued a determination of reasonable cause and the complainant or respondent has elected to proceed in federal court. 42 U.S.C. § 3612(o). The Attorney General may also commence suits where the

Department of Housing and Urban Development refers a discriminatory housing practice involving the legality of a state or local zoning or land use law. 42 U.S.C. §§ 3614(b), 3610(g).

With respect to the ADA, the Department of Justice is charged with enforcement and implementation of Title II of the ADA, 42 U.S.C. §§ 12133-12134, and accordingly has an interest in supporting the proper and uniform application of the ADA, in furthering Congress's intent to create "clear, strong, consistent, enforceable standards addressing discrimination against individuals with disabilities," *id.* § 12101(b)(2), and in furthering Congress's intent to reserve a "central role" for the federal Government in enforcing the standards established in the ADA. *Id.* § 12101(b)(3). In addition, the United States has entered into an enforceable settlement agreement with the State on behalf of individuals with serious mental illness who are unnecessarily segregated in adult homes and therefore has an interest in whether the DOH regulation is upheld. *See United States v. New York*, No. 1:13-cv-04165-NGG-ST, ECF No. 28, Mem. and Order (E.D.N.Y. Mar. 17, 2014) (approving settlement agreement).

BACKGROUND

A. Statutory Background

In 1988, Congress amended the FHA to, among other things, make it unlawful "[t]o discriminate in the sale or rental, or to otherwise make unavailable or deny, a dwelling to any buyer or renter because of" disability.² Fair Housing Amendments Act, Pub. L. No. 100-430 § 6, 102 Stat. 1619, 1620-21 (1988), *codified at* 42 U.S.C. § 3604(f)(1). In enacting these amendments, Congress made "a clear pronouncement of a national commitment to end the unnecessary exclusion of persons with [disabilities] from the American mainstream" and

² Throughout this brief, the United States uses the term "disability" instead of "handicap." For purposes of the FHA, the terms have the same meaning. *See Bragdon v. Abbott*, 524 U.S. 624, 631 (1998).

recognized that “[t]he right to be free from housing discrimination is essential to the goal of independent living.” H.R. Rep. No. 100-711, at 18 (1988), *as reprinted in* 1988 U.S.C.C.A.N. 2173, 2179; *accord Step by Step, Inc. v. City of Ogdensburg*, 176 F. Supp. 3d 112, 135 (N.D.N.Y. 2016); *Support Ministries for Persons with AIDS v. Vill. of Waterford*, 808 F. Supp. 120, 130 (N.D.N.Y. 1992). The amendments “responded to a recognized prejudice against those with [disabilities] ‘[who] have been excluded because of stereotypes about their capacity to live safely and independently.’” *Groome Res. Ltd. v. Parish of Jefferson*, 234 F.3d 192, 201 (5th Cir. 2000) (quoting H.R. Rep. No. 100-711, at 18, 1988 U.S.C.C.A.N. at 2179) (first bracket added; second in original). Thus, for example, Congress expressly intended for the amendments to apply to zoning and land use laws that were “used to restrict the ability of individuals with [disabilities] to live in communities.” *Id.* (quoting H.R. Rep. No. 100-711, at 24, 1988 U.S.C.C.A.N. at 2185) (brackets added).

In 1990, Congress enacted the ADA “to provide a clear and comprehensive national mandate for the elimination of discrimination against individuals with disabilities.” 42 U.S.C. § 12101(b)(1). In so doing, Congress again recognized that “historically, society has tended to isolate and segregate individuals with disabilities, and despite some improvements, such forms of discrimination against individuals with disabilities continue to be a serious and pervasive social problem.” *Id.* § 12101(a)(2).

Title II of the ADA prohibits discrimination against persons with disabilities by state and local governments. *Id.* § 12132. In 1999, in *Olmstead v. L.C.*, the Supreme Court held that the failure by States to serve individuals with disabilities in community, as opposed to institutional, settings in certain circumstances constituted discrimination on the basis of disability, in violation

of Title II. 527 U.S. 581, 587 (1999).³ The Court’s reasoning included two key findings: first, that “institutional placement of persons who can handle and benefit from community settings perpetuates unwarranted assumptions that persons so isolated are incapable or unworthy of participating in community life,” and, second, that “confinement in an institution severely diminishes the everyday life activities of individuals, including family relations, social contacts, work options, economic independence, educational advancement, and cultural enrichment.” *Id.* at 600-01.

B. Adult Homes and the DAI Lawsuit

In 2003, Disability Advocates, Inc. (“DAI”)⁴ filed suit against New York state agencies and officials on behalf of approximately 4,300 persons with serious mental illness who resided in, or were at risk of entering, large “adult homes” in New York City. *See Disability Advocates, Inc. v. Paterson*, 653 F. Supp. 2d 184, 187 (E.D.N.Y. 2009) (“*DAP*”), *vacated on other grounds sub nom., Disability Advocates, Inc. v. N.Y. Coal. for Quality Assisted Living*, 675 F.3d 149, 162 (2d Cir. 2012). Adult homes are “privately-owned, for profit facilities” that are “licensed by the State and authorized to provide long-term residential care, room, board, housekeeping, personal care, and supervision” to residents. *See id.* at 193. By regulation, they are designated to serve persons with disabilities, specifically “adults who ... by reason of physical or other limitations associated with age, physical or mental disabilities or other factors, unable or substantially

³ Specifically, the Court held that community placement is required when “community placement is appropriate, the transfer from institutional care to a less restrictive setting is not opposed by the affected individual, and the placement can be reasonably accommodated, taking into account the resources available to the State and the needs of others with” disabilities. *Id.*

⁴ DAI, which is now known as Disability Rights New York, is a protection and advocacy organization for persons with disabilities. *See* 42 U.S.C. §§ 10801-10807.

unable to live independently.” N.Y. Comp. Codes R. & Regs. tit. 18, § 485.2(a).⁵ Originally designed to serve “the frail elderly,” adult homes began serving persons with serious mental illness due in large part to the State’s failure to develop community mental health services. *DAI*, 653 F. Supp. 2d at 197-98.

In its lawsuit, DAI alleged that the State, in administering its mental health services system, violated Title II of the ADA and *Olmstead* by failing to serve adult home residents with serious mental illness “in the most integrated setting appropriate to their needs,” namely, “a setting that enables individuals to interact with non-disabled persons to the fullest extent possible.” *Id.* (quoting 28 C.F.R. § 35.130(d) & 28 C.F.R. pt. 35 app. A). In 2009, following a five-week trial, the district court entered judgment for DAI, finding unequivocally that “[t]he adult homes at issue are institutions that segregate residents from the community and impede residents’ interactions with people who do not have disabilities.” *Id.* Specifically, the court found that adult homes were “designed to manage and control large numbers of people by eliminating choice and personal autonomy, establishing inflexible routines for the convenience of staff, restricting access, implementing measures which maximize efficiency, and penalizing residents who break the rules.” *Id.* at 199 (citing Plaintiff’s expert report) (internal quotation marks and alterations omitted). As such, adult homes did not promote the rehabilitation or recovery of individuals with serious mental illness and “impede residents’ community integration.” *Id.* at 197, 202. The court noted that even the State itself recognized that adult homes were “de facto mental institutions” that were not “desirable” and would not “promote people’s recovery and integration and full social inclusion.” *Id.* at 197-98. The court likewise

⁵ This regulation governs “adult care facilities,” of which adult homes are a subset. *See* N.Y. Comp. Codes R. & Regs. tit. 18, § 485.2(b).

found that “virtually all” residents of the adult homes at issue “are qualified to receive services in ‘supported housing,’ a far more integrated setting in which individuals with serious mental illness live in apartments scattered throughout the community and receive flexible support services as needed.” *Id.* at 188.

In 2013, the district court in *DAI* approved a settlement agreement in two subsequent, substantively identical lawsuits filed against the State by the United States and a plaintiff class of adult home residents under the ADA.⁶ *See generally* Stipulation & Order of Settlement (“Settlement Agreement”), *United States v. New York*, No. 1:13-cv-4165, ECF No. 74 (E.D.N.Y. July 23, 2013).⁷ The Settlement Agreement requires the State to provide integrated housing and community-based services to all eligible individuals with serious mental illness who wish to move out of adult homes, among other relief. *See id.* § E.2; 2d Am. Stip. and Order of Settlement, *United States v. New York*, No. 1:13-cv-4165, ECF No. 112 (E.D.N.Y. May 18, 2017).

⁶ This lawsuit and settlement followed the Second Circuit’s opinion vacating the *DAI* judgment on grounds that *DAI* lacked standing to bring the original action and the United States’ intervention did not cure this defect. *Disability Advocates, Inc. v. N.Y. Coal. for Quality Assisted Living*, 675 F.3d at 159-61. In so ruling, however, the Court of Appeals was “mindful of the possibility that this litigation will continue, inasmuch as the United States—whose standing is not disputed—has represented that, in the event of a dismissal on the basis of standing, it would re-file the action and submit the same evidence at a subsequent trial.” *Id.* at 162.

⁷ The original 2013 court-ordered settlement was amended by a Second Amended Stipulation and Order of Settlement in May 2017. 2d Am. Stip. and Order of Settlement, *United States v. New York*, No. 1:13-cv-4165, ECF No. 112 (E.D.N.Y. May 18, 2017) , and supplemented by the Supplement to the Second Amended Stipulation and Order of Settlement, executed in March 2018. Suppl. to 2d Am. Stip. and Order of Settlement, *United States v. New York*, No. 1:13-cv-4165, ECF No. 141-1 (E.D.N.Y. Mar. 12, 2018).

C. The Challenged Regulation

In August and October 2012, the New York State Office of Mental Health (OMH) issued Clinical Advisories finding that “transitional adult homes”—*i.e.*, homes with eighty or more beds in which over 25 percent of residents have serious mental illness (*see* N.Y. Comp. Codes R. & Regs. tit. 18, § 487.13(b)(1))—“are not clinically appropriate settings for the significant number of persons with serious mental illness who reside in such settings, nor are they conducive to the rehabilitation or recovery of such persons.” N.Y. State OMH Clinical Advisory (Aug. 8, 2012), *available at* <http://www.omh.ny.gov/omhweb/advisories/>; N.Y. State Office of Mental Health, Update – Clinical Advisory Regarding Adult Homes Previously Issued to Psychiatric Inpatient Programs on August 8, 2012 (Oct. 1, 2012), *available at* <http://www.omh.ny.gov/omhweb/advisories/>. These Advisories were consistent with the district court’s 2009 findings in *DAI*. *See* 653 F. Supp. 2d at 214 (finding that adult homes foster “learned helplessness” because “the skills of community living are eroded by the routines of institutional life.”) (quoting OMH Commissioner testimony to Legislature).

On January 16, 2013, based on these advisories, DOH issued the licensing regulation at issue here, which states that transitional adult homes must limit their residents with serious mental illness to 25 percent of the home’s overall population. N.Y. Comp. Codes R. & Regs. tit. 18, § 487.4(d). In 2019, DOH added a waiver provision to this regulation that allows for the re-admission of former transitional adult home residents notwithstanding the 25 percent limit on residents with serious mental illness. *Id.* § 487.4(e)(3)(ii). DOH states that it has granted 90 waiver requests made on behalf of former transitional adult home residents, and has only turned down applications because they were incomplete, the former resident did not have a mental

health evaluation within the past 30 days, or the person seeking admission was not a former resident. Resp't's Mem. in Supp. at 6 (citing Decl. of Heidi L. Hayes ¶¶ 22-23, ECF No. 238-2).

The Eastern District of New York has noted the importance of the DOH regulation to achieving the goals of the 2013 settlement agreement involving adult homes:

The Regulations limit the admission of individuals with serious mental illness into adult homes whose mental health census is 25 percent or more. If the Regulations are eliminated, it will open the front doors of the adult homes to individuals with serious mental illness. Without some mechanism for limiting admissions or quickly transitioning individuals who are willing and able to move into supported housing, the adult homes could easily revert to being warehouses for individuals with serious mental illness.

United States v. New York, No. 1:13-CV-4165, 2017 WL 2616959, at *1 n. 3 (E.D.N.Y. June 15, 2017).

D. The Instant Case

On November 22, 2016, Petitioner, a former adult home resident, filed this action in state court to challenge the DOH regulation as “void and unenforceable” under, *inter alia*, the FHA. Verified Pet. ¶¶ 3, 4, 64-66, ECF No. 2. The case was removed to federal court on September 8, 2017 based on federal question jurisdiction. ECF No. 1. Petitioner alleged that he was prevented from moving back into his former adult home because of the DOH regulation. *Id.* ¶ 48. In March 2017, however, the district court overseeing the settlement agreement in *United States v. New York* ordered that Petitioner be re-admitted to his former adult home, where he currently lives. Resp't's Mem. in Supp. at 4-5.

On October 29, 2021, the parties filed cross motions for summary judgment on, among other issues, whether the DOH regulation violates the FHA. Petitioner argues that the DOH regulation facially discriminates in housing on the basis of disability by operating to deny persons with serious mental illness admission to certain transitional adult homes, and that such a

regulation may only be justified under the FHA’s “direct threat” defense or if it “benignly serv[es] the FHA’s anti-discrimination purposes[.]” Pet’r’s Mem. in Supp. Mot. for Summ. J. 9-11, ECF No. 237-1. The State defendants argue that the DOH regulation is permissible because it serves “the legitimate bona fide governmental interest of fostering integration of individuals with serious mental illness into the community rather than allowing the segregation of such individuals.” Resp’t’s Mem. in Supp. at 16.⁸

ARGUMENT

I. THE DOH REGULATION DOES NOT VIOLATE THE FAIR HOUSING ACT

A. *Standard for Intentional Discrimination under the FHA*

The FHA makes it unlawful “[t]o discriminate in the sale or rental, or to otherwise make unavailable or deny, a dwelling to any buyer or renter because of a [disability] of ... a person residing in or intending to reside in that dwelling after it is so sold, rented, or made available.” 42 U.S.C. § 3604(f)(1)(B). The FHA also prohibits discrimination in the “terms, conditions, and privileges of sale or rental of a dwelling, or in the provision of services or facilities in connection with such a dwelling.” *Id.* § 3604(f)(2).

A housing restriction that facially discriminates against people with disabilities will pass muster under the FHA upon a showing “(1) that the restriction benefits the protected class or (2) that it responds to legitimate safety concerns raised by the individuals affected rather than being based on stereotypes.” *Cnty. House v. City of Boise*, 490 F.3d 1041, 1050 (9th Cir. 2007);

⁸ Petitioner also challenges the DOH regulation as “arbitrary, capricious, and irrational” under New York law. Pet’r’s Mem. in Supp. at 28-36. Respondents additionally argue that Petitioner lacks Article III standing to enjoin the DOH regulation as facially invalid under the FHA because the DOH regulation allows persons to be readmitted to their former adult homes, Petitioner was readmitted, and it is speculative that the challenged regulation will cause him any *injury in the future*. Resp’t’s Mem. in Supp. at 8-15. *The United States does not address these issues here.*

Bangerter v. Orem City Corp., 46 F.3d 1491, 1503-04 (10th Cir. 1995); *see also Larkin v. Mich. Dep't of Social Servs.*, 89 F.3d 285, 290 (6th Cir. 1995) (“[I]n order for facially discriminatory statutes to survive a challenge under the [FHA], the defendant must demonstrate that they are warranted by the unique and specific needs and abilities of those handicapped persons to whom the regulations apply.”).⁹

Accordingly, the FHA’s non-discrimination provisions permit housing eligibility to be limited based on type of disability under certain circumstances, even though this may restrict the housing choices of persons with disabilities. For example, HUD FHA regulations expressly allow inquiries into a housing applicant’s disability when they are intended “to determine whether an applicant is qualified for a dwelling available only to persons with handicaps or to persons with a particular type of handicap,” as well as “to determine whether an applicant for a dwelling is qualified for a priority available to” persons with disabilities.¹⁰ 24 C.F.R. § 100.202(c)(2)-(3). This reflects a recognition by HUD that, for example, group homes that are designated to serve only people with specific types of disabilities, such as intellectual disabilities or serious mental illness, are permissible under the FHA even though residency in such homes is restricted based on disability.

⁹ This is different from the rationale-basis review employed by the Eighth Circuit in FHA cases. *See* Resp’t’s Mem. in Supp. at 7 (citing *Familystyle of St. Paul, Inc., v. City of St. Paul*, 923 F.2d 91, 94 (8th Cir. 1991)). Other circuits that have considered this question have rejected the use of an equal protection analysis under the FHA. *See Bangerter*, 46 F.3d at 1503; *Cnty. House*, 490 F.3d at 1050. In determining the standard of review under the FHA, the Court should follow the Sixth, Ninth, and Tenth Circuits and decline to apply an equal-protection analysis to the FHA.

¹⁰ HUD is “the agency primarily charged with the [FHA’s] implementation and regulation,” and “the Court ordinarily defers to an administering agency’s reasonable statutory interpretation[.]” *Meyer v. Holley*, 537 U.S. 280, 281 (2003).

B. The DOH Regulation Permissibly Governs the Types of Settings in Which the State Provides Mental Health Services

Unlike a restriction on the sale or leasing of a dwelling, or a zoning or land use provision that governs where housing for persons with disabilities may be located, the DOH regulation forms part of the State’s licensing scheme for health care facilities. By their nature, these facilities are restricted to persons with specific types of disabilities or conditions. *See, e.g.*, 42 U.S.C. § 1396d(d) (under Medicaid, an “intermediate care facility for [individuals with intellectual disabilities]” is defined as “an institution (or a distinct part thereof) for [individuals with intellectual disabilities] or persons with related conditions . . .”). Such licensing regulations, in turn, help determine the structure of the State’s disability services system and the types of settings in which individuals will receive services.

As such, the DOH regulation does not “deny” or “make unavailable” housing on the basis of disability, any more than would a decision by the State that limits inpatient or institutional care altogether as part of its disability services system.¹¹ Instead, the DOH regulation reflects the State’s decision not to provide mental health services in a setting—namely, a congregate residential facility in which a large number of residents have serious mental illness—that the State has determined is, as a general matter, clinically and therapeutically ineffective for persons with serious mental illness (and which the *DAI* court has held violates the ADA and *Olmstead*). While this will sometimes mean that certain individuals cannot access services in the residential

¹¹ For example, as of 2017, 16 states and the District of Columbia had closed all of their publicly-owned residential institutions for persons with intellectual disabilities, and two states—Oregon and Michigan—additionally did not provide services to anyone in privately-operated Intermediate Care Facilities for persons with intellectual disabilities. Univ. of Minn., Residential Information Systems Project, *In-Home and Residential Long-Term Supports and Services for Persons with Intellectual or Developmental Disabilities: Status and Trends Through 2017* 55, 108 (June 2020), available at: https://ici-s.umn.edu/files/aCHyYaFjMi/risp_2017.

setting of their choosing, such limits are a common and routine aspect of State disability services, which are subject to conditions and limitations on how they are structured and funded.¹² Here, however, the DOH regulation does not govern or impact where housing for persons with disabilities may be located, but instead the types of services and settings the State determined it would provide.¹³

Even if the State's limit on admissions of persons with serious mental illness to adult homes could be considered facially discriminatory, the DOH regulation would not violate the FHA. First, adult homes are unquestionably designated as facilities providing long term residential care for persons with disabilities, and the State may permissibly limit or prioritize admission to individuals with certain disabilities that the facility is designed to serve. *See* 24 C.F.R. § 100.202(c)(2)-(3). Second, the DOH regulation operates to benefit people with disabilities and is "tailored to particularized concerns" about adult home residents. *See Bangerter*, 46 F.3d at 1503. Just as the State could limit admission to facilities that were found

¹² For example, federal Medicaid law prohibits States from paying for services provided to individuals ages 21-65 in an "institution for mental diseases." 42 U.S.C. § 1396d(a)(B); *accord Va. Dep't of Med. Assistance Servs. v. U.S. Dep't of Health & Human Servs.*, 678 F.3d 918, 919-20 (D.C. Cir. 2012). These institutions have more than 16 beds and are "primarily engaged in providing diagnosis, treatment, or care of persons with mental diseases." 42 U.S.C. § 1396d(i).

¹³ In this regard, the DOH regulation is different from spacing requirements that restrict the location of housing for persons with disabilities within residential communities, which often operate to prevent the establishment of small group homes necessary to facilitate implementation of *Olmstead*. Although such provisions may violate the FHA, HUD and the U.S. Department of Justice have also noted that "[s]ometimes compliance with the integration mandate of the ADA and *Olmstead* requires government agencies responsible for licensing or providing housing for persons with disabilities to consider the location of other group homes when determining what housing will best meet the needs of the person being served." Joint Statement of the Dep't of Hous. and Urban Dev. and the Dep't of Justice: State and Local Land Use Laws and Practices and the Application of the Fair Housing Act ("HUD/DOJ Joint Land Use Statement") 12 (Nov. 10, 2016), *available at*: <https://www.justice.gov/opa/file/912366/download>.

to have dangerous living conditions or inadequate supervision and care without contravening the FHA, it may similarly ensure that mental health services are not being provided in congregate facilities that have been found by both the State and the district court in *DAI* to be segregated, in contravention of the State’s obligations under the ADA and *Olmstead*, and therapeutically harmful. *See* HUD/DOJ Joint Land Use Statement at 13 (FHA permits states to adopt standards that are “reasonable, individualized, and specifically tailored to enable individuals with disabilities to live and interact with individuals without disabilities to the fullest extent possible.”). And while Petitioner argues that certain individuals, such as himself, have benefitted from adult home placements, the DOH regulation does not ban all such admissions, but limits them to one-quarter of an adult home’s population and also allows for individual waivers. Finally, it cannot be said that the DOH regulation—which presumes that most persons with serious mental illness are capable of being served in the community—is “based on blanket stereotypes” or negative perceptions of persons with disabilities. *See Bangerter*, 46 F.3d at 1503.¹⁴

CONCLUSION

For the reasons stated above, the United States respectfully requests that the Court grant Respondent’s Motion for Summary Judgment consistent with the legal analysis set forth above.

¹⁴ Notably, Petitioner does not appear to argue that the DOH regulation *as applied to him* denied him housing based on his disability, though he claims that this may occur in the future. He has now twice been re-admitted into his former adult home, with the second re-admission having apparently occurred under the State’s waiver process. *See* Pet’r’s Mem. in Opp. at 3-4. Rather, he argues that the DOH regulation is facially invalid and should be null and void as to everyone. *See id.* at 23-26.

Dated: January 10, 2022

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CERTIFICATE OF SERVICE

I hereby certify that I electronically filed the foregoing with the Clerk of the United States District Court for the Northern District of New York through the CM/ECF system, which will send a Notice of Electronic Filing to registered CM/ECF participants.

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